

PI3806USw

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: G. Alvaro, et al.

Serial No. 10/089,964

Examiner: R. Raymond

Filing Date: 8 May 2002

Art Unit: 1624

For: CHEMICAL COMPOUNDS

Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE TO ADD NAMES OF INVENTORS OF AN  
INVENTION ORIGINALLY DISCLOSED AND NOW BEING CLAIMED IN AN  
APPLICATION PURSUANT TO 37 C.F.R. 1.48(c)(2)

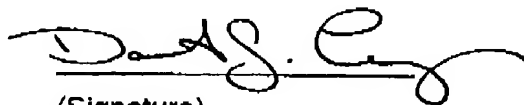
SmithKline Beecham Corp., owner by assignment of the above patent,

☐ in the assignment being recorded concurrently herewith,☒ in the assignment recorded in the PTO on 4 August 2003, at Reel  
013846, Frame 0663, and

hereby consents to the amendment of the inventorship of this patent as  
requested

☒ in the accompanying papers☐ in the papers mailed to the PTO on \_\_\_\_\_

☒ Attached is a "Statement Under 37 C.F.R. 3.73(b)," establishing the right  
of the assignee to take action in this case.

Date: JUNE 14, 2004  
(Signature)David J. Levy

Assistant Secretary,

SmithKline Beecham Corp.*Title of signatory if signing on behalf of an  
entity*

Approved for use through 07/31/2006. OMB 0851-0031  
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: G. Alvaro et al.Application No./Patent No.: 10/089,964 Filed/Issue Date: 8 May 2002Entitled: Chemical CompoundsSmithKline Beecham Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
 The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
 in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: original named inventors To: SmithKline Beecham Corporation  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel 013846, Frame 0663, or for which a copy thereof is attached.
2. From: Corsi and Melotto To: SmithKline Beecham Corporation  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

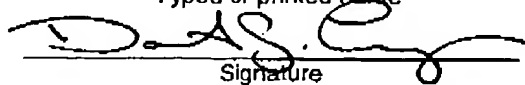
☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6/14/04  
 Date  
919-483-2723  
 Telephone number

David J. Levy  
 Typed or printed name  
  
 Signature  
Assistant Secretary, SmithKline Beecham  
 Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## ASSIGNMENT

WHEREAS I/WE, Mauro A. Corsi and Sergio Melotto, all of GlaxoSmithKline SpA, Via Alessandro Fleming 2, 37100 Verona, Italy, (hereinafter called "the inventor(s)") have invented or discovered "CHEMICAL COMPOUNDS" (hereinafter called "the invention") for which a patent application No. 9923748.9 was filed on 07 October 1999 in the United Kingdom and for which an international patent application No. PCT/EP00/09722 was filed on 05 October 2000 designating the United States of America, and for which a patent application no. 10/089,964 was filed on May 8, 2002 with the United States Patent and Trademark Office.

**WHEREAS** the invention belongs to my/our employer, **GLAXOSMITHKLINE** SpA, a company incorporated in Italy whose registered address is Via Alessandro Fleming, 2, 37100 Verona, Italy, and

**WHEREAS SMITHKLINE BEECHAM CORPORATION**, a corporation organised and existing under and by virtue of the laws of the State of North Carolina and having its principal place of business at One Franklin Plaza, Philadelphia, Pennsylvania 19101 United States of America, is desirous of acquiring from GLAXOSMITHKLINE SpA the whole right, title and interest in and to the invention and the application in respect of the United States of America;

**NOW, THEREFORE**, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by GLAXOSMITHKLINE SpA of the invention and the application by operation of law under the Patent Law of Italy and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in the invention and the application (which I/we do not believe to be the case and claim no ownership right, title or interest in the invention and the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and the application to GLAXOSMITHKLINE SpA and GLAXOSMITHKLINE SpA in turn hereby assigns and transfers to **SMITHKLINE BEECHAM CORPORATION** its whole right, title

and interest in and throughout the United States of America in and to the invention and the application and in and to any priority rights in respect of the invention and the application and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension or re-issue thereof, and I/we the inventor(s) and GLAXOSMITHKLINE SpA hereby authorise and request any patent arising therefrom in the United States of America be issued to **SMITHKLINE BEECHAM CORPORATION**.

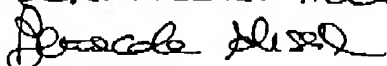
AND GLAXOSMITHKLINE SpA hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in **SMITHKLINE BEECHAM CORPORATION**, its successors and assigns, the whole right, title and interest in and to the invention and the application hereby assigned and transferred in respect of the United States of America.

**IN WITNESS** whereof and with effect from 07 October 1999, the inventor(s) and David J. Levy as Attorney of GLAXOSMITHKLINE SpA by virtue of Powers of Attorney granted by respectively have hereunto set their respective hands.

**SIGNED** by Mauro Corsi:

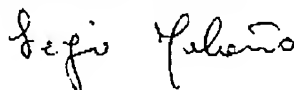
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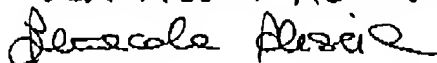


in the presence of: BERZACOLA ALESSIA 3/5/04  


**SIGNED** by Sergio Melotto:

**Date:** 3/05/04



in the presence of: BERZACOLA ALESSIA 3/5/04  


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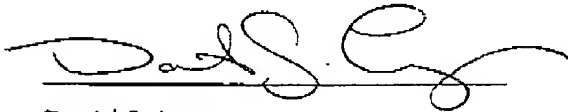
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**SIGNED** by David J. Levy as the Attorney of each of GLAXOSMITHKLINE SpA and  
**SMITHKLINE BEECHAM CORPORATION:**



David J. Levy

Date: May 21, 2004